AGILENT TECHNOLOGIES, IN Legal Department, DL429 Intellectual Property Administration P. O. Box 7599 Loveland, Colorado 80537-0599

IN THE



PATENT APPLICATION

ATTORNEY DOCKET NO. 10003417-1

UNITED STATES PATENT AND TRADEMARK OFFICE

RECEIVED

MAY 0 8 2003

Technology Center 2100 Examiner:

Inventor(s): Glenn R Engel

Serial No.: 10/005749

Filing Date:

Nov 07, 2001

**Group Art Unit:** 

Secure Communication Protocol Utilizing A Private Key Delivered Via A Secure Protocol

2131

COMMISSIONER FOR PATENTS

PO Box 1450 Alexandria, VA 22313-1450

#### INFORMATION DISCLOSURE STATEMENT

Sir:

₽

Title:

This Information Disclosure Statement is submitted:

- ( ) under 37 CFR 1.97(b), or (Within three months of filing national application; or date of entry of national application; or before mailing date of first office action on the merits; whichever occurs last)
- (x) under 37 CFR 1.97(c) together with either a:
  - (X) Statement under 37 CFR 1.97(e), or
  - ( ) a \$180.00 Processing fee under 37 CFR 1.17(p), or (After the CFR 1.97 (b) time period, but before final action or notice of allowance, whichever occurs first)
- ( ) under 37 CFR 1.97 (d) together with a:
  - ( ) Statement under 37 CFR 1.97(e), and
  - ( ) a \$180.00 processing fee under 37 CFR 1.17(p).

(Filed after final action or notice of allowance, whichever occurs first, but before payment of the issue fee)

Please charge to Deposit Account 50-1078 the sum of \$0.00 . At any time during the pendency of this application, please charge any fees required or credit any overpayment to Deposit Account 50-1078 pursuant to 37 CFR 1.25.

Applicant(s) submit herewith Form PTO 1449. References identified with an asterisk (\*) were disclosed in Patent Application No. filed , now U. S. Patent No. , and, as such, copies thereof are not included pursuant to the provisions of 37 CFR 1.98(d).

( ) A concise explanation of the relevance of foreign language patents, foreign language publications and other foreign language information listed on PTO Form 1449, as presently understood by the individuals(s) designated in 37 CFR 1.56 (c) most knowledgeable about the content is given on the attached sheet, or where a foreign language patent is cited in a search report or other action by a foreign patent office in a counterpart foreign application, an English language version of the search report or action which indicates the degree of relevance found by the foreign office is listed on form PTO 1449 and is enclosed herewith.

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, PO Box 1450. Alexandria, VA 22313-1450.

Date of Deposit: May 2, 2003

Typed Name: Nelia T. de Guzman
Signature: Aelia J. de Pusm

Respectfully submitted,

Glenn R Engel

Robert T Martin

Attorney/Agent for Applicant(s)

Reg. No. 32,426

> Date: May 2, 2003

Telephone No.: (650) 485-7533

## PATENT APPLICATION

TRANSLATION

Sheet 1 of 1

**FORM PTO-1449** 

LIST OF PATENTS AND PUBLICATIONS FOR **APPLICANT'S INFORMATION DISCLOSURE STATEMENT** 

MAY 0 6 2003

(Use several sheets if necessary)

DOCUMENT

•	
ATTY. DOCKET NO.	SERIAL NO.
10003417-1	10/005,749
APPLICANT	
Glenn R. Engel	
FILING DATE	GROUP
Nov. 7. 2001	2131

# REFERENCE DESIGNATION

# **U.S. PATENT DOCUMENTS**

XAMINER INITIAL	*	DOCUMENT NUMBER	DATE	NAME	RECEIVED
		6,243,812	Jun. 5, 2001	Matyas et al	MAY 0 8 2003
		6,047,072	Apr. 4, 2000	Field et al	Technology Center 21
		5,850,443	Dec. 15, 1998	Van Oorschot et al	180///10/023
				·	

## **FOREIGN PATENT DOCUMENTS**

NAME

	DOCOMENT	DATE	NAME	1	INAMSEATION		
	NUMBER	DATE		YES	NO		
	GB 2,345,620	Oct.29, 1999	Bull et al				
<del></del>	<b>_</b>	<del> </del>			<u> </u>		
		·					
	07115D DEFED	ENIOEO ('					
	OTHER REFER	ENCES (including	Author, Title, Date, Pertinent Pag	es, etc.)			
	Richard E. Smith	n, "Internet Crypto	graphy", published 1997, Addison We	esley, Pages 212 & 3	213.		
	Betente Act 10	27. Casab Basas	Hadaa Casiira 17 daad Marah 00, 00				
	Patents Act 1977: Search Report Under Section 17 dated March 26, 2003						
<del></del>	· -			-	<del></del>		
L	<u> </u>						
EXAMINER	EXAMINER		DATE CONSIDERED	DATE CONSIDERED			
			·				

<sup>\*</sup>Copies of these references are not enclosed pursuant to 37 CFR 1.98(d). (See accompanying IDS)

AGILENT TECHNOLOGIES, I Legal Department, DL429 Intellectual Property Administration P. O. Box 7599 Loveland, Colorado 80537-0599



RECEIVED

MAY 0 8 2003

Technology Center 2100

Inventor(s): Glenn R Engel

Serial No.: 10/005749 Examiner:

Filing Date: Nov 07, 2001

Group Art Unit: 2131

Title:

Secure Communication Protocol Utilizing A Private Key Delivered Via A Secure Protocol

**COMMISSIONER FOR PATENTS** PO Box 1450 Alexandria, VA 22313-1450

STATEMENT UNDER 37 CFR 1.97(e)

Sir:

The undersigned hereby certifies that either:

- (X) Each item of information contained in the Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart foreign application, not more than three months prior to the filing of the statement, or
- ( ) No item of information contained in the Information Disclosure Statement
  - -- was cited in a communication from a foreign patent office in a counterpart foreign application, and
  - -- to the knowledge of the undersigned, after making reasonable inquiry, was known to any individual designated in 37 CFR 1.56 (c) more than three months prior to the filing of the Information Disclosure Statement.

Respectfully submitted,

Glenn R Engel

Robert T Martin

Attorney/Agent for Applicant(s)

Reg. No. 32,426

> Date: May 2, 2003

Telephone No.: (650) 485-7533